



U.S. Department of Homeland Security

Fiscal Year 2011 Transit Security Grant Program (TSGP) After-Action Conference



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September - October

Agenda

9:00AM - 9:30AM	Registration
9:30AM - 9:45AM	Welcome and Opening Remarks; Review of FY 2011 TSGP
9:45AM - 10:30AM	Application Development and Process Discussion
10:30AM - 11:15AM	Funding Priorities and Scoring Methodology Discussion
11:15AM – 11:30AM	Break
11:30AM - 12:00PM	Drawdown and Post Award Implementation Discussion
12:00PM - 12:30PM	Questions and Answers, Wrap-Up and Next Steps



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Welcome and Opening Remarks



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TSGP After Action Conference Goals

- Enhance working relationships
- Obtain your feedback
- Hear your recommendations
- Share your thoughts and experiences
- Work through your concerns
- Inform future programs
- Improve processes



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FY 2011 TSGP Overview and Key Changes

Change	Description
Funding Allocations	<ul style="list-style-type: none"> • No tiers or target funding allocations; wholly competitive process. • \$200,079,000 awarded; over \$53M less than FY 2010
Funding Priority Areas	<ul style="list-style-type: none"> • Focused on operational deterrence activities and remediation of critical transit infrastructure due to risk reduction effectiveness. <ul style="list-style-type: none"> • Priority A: Operational Projects • Priority B: Operational Packages • Priority C: Capital Projects for Top Transit Asset List (TTAL) Remediation • Priority D: Remediation Plans for Assets on the TTAL • Priority E: Other Capital Security Projects • Priority given to “shovel ready” capital projects
Scoring Methodology	<ul style="list-style-type: none"> • All projects scored on: <ul style="list-style-type: none"> • Risk Group Score • Alignment to Funding Priority Areas • Risk Mitigation Score • Regional Collaboration • Operational projects (Priority A) scored on BASE review alignment • TTAL projects (Priorities C and D) evaluated based on ability to comprehensively address vulnerabilities



FY 2011 TSGP Overview and Key Changes

Change	Description
Operational Packages (OPacks)	<ul style="list-style-type: none"> • OPack eligibility not driven by tiers or ridership. • Any transit agency with at least 50 FTE sworn positions and attested to sustain OPacks after the grant period of performance could apply for OPack funding. • All agencies eligible for the non-personnel aspects of operational packages (e.g., equipment and support).
Patrols on Overtime	<ul style="list-style-type: none"> • Overtime for directed patrol, canine team, mobile screening, and/or anti-terrorism team surge activities • Focus on events of regional significance and national holidays
Operational Cost Limit	<ul style="list-style-type: none"> • Operational projects limited to 10% of total national TSGP funding • Per section 1406 of the 9/11 Act, the Secretary may waive the limitation on operational costs • Operational projects totaled almost 48% of the FY 2011 TSGP awards, requiring a waiver from the Secretary
TTAL	<ul style="list-style-type: none"> • Critical infrastructure transit assets of national concern • Developed through examination of the highest-risk regions, the highest criticality-type assets within those regions, and intelligence information



FY 2011 TSGP Overview and Key Changes

Change	Description
RTSS Update	<ul style="list-style-type: none">• Regional Transit Security Working Groups (RTSWG) must update their Regional Transit Security Strategy (RTSS) by December 31, 2011
Period of Performance	<ul style="list-style-type: none">• 48 months for Capital Projects• 36 months for Operational Projects
Non-Disaster (ND) Grants	<ul style="list-style-type: none">• Applications processed through new ND Grants system, a consolidated grant management system with both disaster and non-disaster components covering the entire grant lifecycle



Application Development and Process



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Application Development and Process: Questions to Consider

- Did the competitive process impact collaboration in your region?
- Did the changes to this year's program (e.g. competitive program, streamlined funding priorities, compressed timelines) impact your decision on the type, number, and/or dollar amount of project(s) to apply for?
- Are all the steps within the application process written in a clear and concise manner in the grant guidance?
- Where and how could the language be improved?
- How did the new ND Grants system affect the application process?
- What problems or issues were encountered with ND Grants?
- What can DHS do to improve the application process?
- Do you understand how EHP planning and reviews can be built into the IJ/budget?
- Do you understand the grant expiration limits, beyond the period of performance, for project implementation planning purposes?



Funding Priorities and Scoring Methodology



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Funding Priorities and Scoring Methodology: Questions to Consider

- Do the funding priorities encompass the activities you wish to undertake?
- How would you re-prioritize the project types, if at all?
- Does the scoring methodology strike an appropriate balance between risk and effectiveness?
- Are the point ranges assigned to each criterion appropriate?
- Should any other factors be considered in the scoring process?



Funding Priorities: Operational Deterrence

Funding Priority Area	Funding Priority Score	Project Description	Project Types
A	8	Operational Activities: Training, Drills and Exercises, Public Awareness, Security Planning	<ul style="list-style-type: none"> • Training (basic before follow-on): <ul style="list-style-type: none"> - Security Awareness - DHS-Approved Behavior Recognition Detection Courses - Counter-Surveillance - Immediate Actions for Security Threats/Incidents • Drills and Exercises • Public Awareness • Vulnerability Assessments and Security Plans
B	7	Operational Packages including overtime patrols	<ul style="list-style-type: none"> • Canine Teams • Mobile Explosive Screening Teams • Anti-Terrorism Teams • Directed Patrols on Overtime



Funding Priorities: TTAL

Funding Priority Area	Funding Priority Score	Project Description	Project Types
C	6	TTAL Remediation for assets that have complete remediation plans	Anti-terrorism security enhancement measures, including security projects that provide for substantial security enhancements, such as intrusion detection, visual surveillance with live monitoring, alarms tied to visual surveillance system, recognition software, tunnel ventilation and drainage system protection, flood gates and plugs, portal lighting, and similar hardening actions: <ul style="list-style-type: none"> • Underwater Tunnels • High-Density Bridges • High-Density Stations
D	5	TTAL Remediation Plans	Remediation planning, including EHP considerations, for anti-terrorism security enhancement measures, such as intrusion detection, visual surveillance with live monitoring, alarms tied to visual surveillance system, recognition software, tunnel ventilation and drainage system protection, flood gates and plugs, portal lighting, and similar hardening actions: <ul style="list-style-type: none"> • Underwater Tunnels • High-Density Bridges • High-Density Stations



Funding Priorities: Other Capital Security

Funding Priority Area	Funding Priority Score	Project Description	Project Types
E	4	Multi-User High-Density Key Infrastructure Protection	Anti-terrorism security enhancement measures, such as intrusion detection, visual surveillance with live monitoring, alarms tied to visual surveillance system, recognition software, tunnel ventilation and drainage system protection, flood gates and plugs, portal lighting, and similar hardening actions for: <ul style="list-style-type: none"> • Tunnel Hardening • High-Density Elevated Operations • Multi-User High-Density Stations • Hardening of SCADA or other industrial control systems
	3	Single-User High-Density Key Infrastructure Protection	<ul style="list-style-type: none"> • Anti-terrorism security enhancement measures for: <ul style="list-style-type: none"> - High-Density Stations - High-Density Bridges
	2	Key Operating Asset Protection	<ul style="list-style-type: none"> • Physical Hardening/Security of Control Centers • Secure stored/parked trains, engines, and buses <ul style="list-style-type: none"> ○ Bus/Rail Yards • Maintenance Facilities
	1	Other Mitigation Activities	<ul style="list-style-type: none"> • Interoperable Communications • Anti-terrorism security enhancement measures for low-density stations



Scoring Methodology

All applications scored according to the following formula, consisting of 4 parts:

Risk Group Score + Funding Priority Area + Risk Mitigation Score + Regional Collaboration

Risk Group Score: range from 6-1 and are a function of agency and regional risk

Funding Priority Area: range from 8-1

Risk Mitigation Score: evaluated based on the components below. (will vary depending on project Priority Area) . The sum of the components is averaged to derive the final Risk Mitigation Score.

- Cost effectiveness (All Priorities)
- Ability to reduce catastrophic events (All Priorities)
- Timelines (All Priorities)
- Sustainability without additional Federal funds and leveraging of other funding (All Priorities)
- BASE Review Alignment (for Priority A projects only)
- Effectiveness in Remediating TTAL Assets (for Priority C and D projects only)

Regional Collaboration if applicants show coordination with regional entities above and beyond what is normally expected



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Scoring Methodology: Risk Mitigation Components

Factor	Description
Cost Effectiveness	Projects will be evaluated and prioritized on the expected impact on security relative to the investment, evidence of security impact and budget justification.
Feasibility	The investment justification should show a high likelihood of improved security when implemented as designed.
Timelines	Projects will be evaluated and prioritized on the ability of the applicant to complete the proposed project within submitted timeframes.
Sustainability	Sustainability without additional Federal funds and leveraging of other funding. Projects will be evaluated and prioritized regarding the extent to which they exhibit a likelihood of success, without requiring additional Federal assistance.
BASE Alignment	Projects will be evaluated and prioritized on linkages to deficiencies identified in their BASE or comparable vulnerability assessment/security plan
TTAL Remediation Effectiveness	Projects will be evaluated and prioritized based on their ability to fully remediate known vulnerabilities of assets on the TTAL



Drawdown and Post Award Implementation



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Drawdown and Post Award Implementation: Changes

- Environmental and Historic Preservation (EHP)
 - The EHP review is no longer a conditional hold on funds. It is the grantee's responsibility to not start a project until an EHP review and clearance has occurred. Retain a copy of any clearance letter/email in your grant file.
- Budget Reviews
 - FEMA is expediting budget reviews with the hope that some or all TSGP awards will be made without a conditional hold on funds for budget. Please respond promptly for any requests calling for revised budget information.



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Drawdown and Post Award Implementation: Questions to Consider

- Is the formal award acceptance process clear and concise?
- Are there concerns regarding the level of detail grantees are expected to provide during financial reviews or the required quarterly and semi-annual reports?
- What recommendations do you have for the release of funds process to allow easier access to your funds?



TSGP Look Ahead Discussion

- How have the changes in the FY 2011 TSGP Guidance and Application kit affected your agencies?
- What can DHS do to ensure the FY 2012 TSGP Guidance and Application Kit is more useful and efficient?
- What could change in FY 2012?
 - Evaluating TSGP risk model
 - Eligibility
 - Updates and possible expansion of TTAL



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Questions and Answers, Wrap Up and Next Steps



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