



## Fiscal Year 2011 Transit Security Grant Program Transportation Security Administration

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The Department of Homeland Security (DHS) will provide \$200,079,000 to the owners and operators of transit systems under the Transit Security Grant Program (TSGP) in Fiscal Year (FY) 2011. Transit systems include passenger rail, intracity and commuter bus networks, and ferry systems. The program provides funds to protect critical surface transportation infrastructure and the traveling public from acts of terrorism.

A total of \$235,029,000 is provided in FY 2011 for Passenger Rail Assistance and Freight Rail Assistance. In addition to \$200,079,000 for TSGP security funding is security funding to the National Passenger Rail Corporation (Amtrak) (\$19.96M), funding for the Freight Rail Security Grant Program (FRSGP) (\$10M) and funding for the Intercity Bus Security Grant Program (IBSGP) (\$4.99M). Separate guidance documents are available for each of these grants programs.

### ***FY 2011 TSGP Highlights***

Important changes and highlights in the FY 2011 TSGP include the following:

- **Revised Framework** – The FY 2011 TSGP framework is similar to the FY 2009 ARRA TSGP. The TSGP will be wholly competitive without tiers or target allocations, and focuses on operational projects prioritized over capital projects. The project priorities are streamlined, with assets on the Top Transit Asset List (TTAL) (see below) prioritized over all other capital projects.
- **Eligibility** – Per the *Department of Defense and Full-Year Continuing Appropriations Act, 2011* (Public Law 112-10) awards will be made directly to public transit agencies. Although the State Administrative Agency (SAA) is no longer the grantee, the SAA is eligible as a sub-grantee to an eligible transit agency to facilitate regional planning and programs.

Eligible transit agencies were determined based on daily unlinked passenger trips (ridership) and transit systems that serve historic Urban Areas Security Initiative (UASI) jurisdictions. Please refer to the FY 2011 TSGP guidance for the full list of eligible transit agencies.

- **Top Transit Asset List (TTAL)** - In support of the TSGP, DHS drafted the TTAL to identify those assets it considers nationally critical to surface transportation. This prioritized list was developed by examining the highest, criticality-type assets at the highest-risk regions and intelligence information. These assets were then analyzed based on threats, vulnerabilities, and consequences. Remediation projects for assets on the TTAL will be given priority funding consideration over other capital projects. (Please see "Priority Projects for FY 2011" below for discussion on funding priorities.)
- **"Shovel-Ready" Projects** - Priority will be given to "shovel-ready" projects that have complete designs/remediation plans and can be implemented quickly.
- **Ten percent (10%) Limit on Funding for Operational Activities** - Per Section 1406 of the 9/11 Act, the FY 2011 TSGP allowance for operational activities is capped at ten percent (10%) of total program funding. Operational activities include training; drills and

exercises; public awareness campaigns; development of security plans and vulnerability assessments; and Operational Packages (OPacks).

Per section 1406 of the 9/11 Act, the Secretary may waive the limitation on operational costs specified in subparagraphs (B) through (E) of paragraph (m) (1) if the Secretary determines that such a waiver is required in the interest of national security, and if the Secretary provides a written justification to the appropriate congressional committees prior to any such action. Historically, approximately 20% of TSGP funds have been awarded each grant cycle for operational costs.

- **Investment Justifications and Detailed Budgets Required** – Applicants are required to develop an Investment Justification (IJ) and Detailed Budget that address each initiative proposed for funding. An IJ must demonstrate how each proposed project addresses gaps and deficiencies in current programs and capabilities, as identified in the security assessment or security plan.
- **Period of Performance** - The period of performance for capital projects is **48 months**. The period of performance for operational projects is **36 months**. Extensions to the period of performance will be considered only through formal requests to FEMA with specific and compelling justifications as to why an extension is required.
- **Cost Share Requirement** – There is no cost share requirement for the FY 2011 TSGP.
- **Minimum Project Request Amounts** - There is no minimum project request amount.
- **Management and Administration (M&A)** - M&A remains five percent (5%) for grantees in FY 2011.
- **Maintenance and Sustainment Costs** – As with FY 2010, maintenance and sustainment costs are allowable under all active and future grant awards. (See Information Bulletin 336 (IB#336) for details).
- **Operational Packages (OPacks) Eligibility** – For FY 2011, OPack eligibility is no longer driven by tiers or ridership. Rather, any transit agency having a dedicated transit security/police force of 50 or more full-time or full-time equivalent, sworn officers that will attest to its ability to sustain OPacks after the grant period of performance may apply for OPack funding. Any agency may also apply for the non-personnel aspects of operational packages (e.g., equipment and support).
- **Scoring Methodology** – The scoring methodology consists of four parts:
  - Agency's risk group score
  - Project's Funding Priority Area score
  - Potential risk mitigation of the project
  - Regional collaboration (where appropriate)

### ***Priority Projects for FY 2011***

The FY 2011 TSGP framework focuses this risk-based program by emphasizing operational deterrence activities and focusing capital funding specifically for hardening high-risk assets on the TTAL. For FY 2011, \$200,079,000 has been appropriated to fund these activities.

### **Operational Activities**

- **Priority A:** Operational Projects
  - Training, drills/exercises, public awareness, and security planning projects
- **Priority B:** OPacks
  - Development of new capabilities to enhance visible, unpredictable deterrence efforts in transit, including equipment and other support.

- No more than 10%<sup>1</sup> of the funds available for this grant program may be used for “Operating Uses” as outlined in the 9/11 Act, Section 1406(b)(2).

### **Capital Projects**

Capital funds available through the TSGP will be considered based on three separate funding priorities:

- **Priority C:** “Shovel Ready” Capital projects for assets on the TTAL
  - Hardening of assets on the TTAL that have complete remediation plans, including completed Environmental Planning and Historic Preservation (EHP) documentation
- **Priority D:** Remediation plans for assets on the TTAL
  - Development and/or completion of remediation plans for assets on the TTAL
- **Priority E:** Other Capital Security Projects
  - All other capital projects not included in the above mentioned priorities, with priority given to “shovel-ready” projects

The grant guidance includes a list of potential project types; the sample project types are not comprehensive and projects not listed may be eligible for funding if they fall into one of the funding priority areas. Allowable cost categories generally include: planning, operational activities, equipment acquisitions, training (courses and backfill/overtime costs), exercise development and execution, maintenance and sustainment and M&A.

### ***How to Apply for Grant Funding***

Eligible transit agencies interested in applying for TSGP funding are encouraged to begin the application process as soon as possible. Applicants should be aware that the process involves a number of steps, can take several weeks to complete, and can be fairly time-consuming. The basic registration steps (described in detail at [www.grants.gov](http://www.grants.gov)) include:

- **Obtaining a user password at [www.grants.gov](http://www.grants.gov)** – This is a one-time process. It could take three to five business days for the password to be confirmed.
- **Obtaining a DUNS Number** – Applicants must provide a Dun and Bradstreet Data Universal Number System (DUNS) number with their application. This number is required to apply for Federal assistance. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5711.
- **Creating a user profile with the Central Contractor Registration at [www.ccr.gov](http://www.ccr.gov)** – It can take five to seven business days for the profile to be validated.
- **Registering with Operational Research Consultants** – The Federal government uses this credential provider to help safeguard electronic information.
- **Registering with [www.grants.gov](http://www.grants.gov)** – This includes identification of individuals who are authorized to submit grant applications, which could also take several days to confirm.
- **Registering with ND Grants** - The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact FEMA’s Enterprise Service Desk at (888) 457-3362.

Applicants can download and begin filling out the grant application at any time, though the applications can be submitted only after the steps above are completed.

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<sup>1</sup> 9/11 Act (Public Law 110-53), Section 1406(m)(1)(E)

### ***Important Application Dates***

- **May 19, 2011** – DHS releases the grant guidance.
- **July 5, 2011** – Completed applications must be submitted electronically **no later than 11:59 PM EST, July 5, 2011**. Late applications will neither be considered nor reviewed.
- **August 11, 2011** – Tentative award announcement date. Awards will be made on or before September 30, 2011.

### ***For More Information***

Below are sources of information to learn more about the TSGP and other surface transportation grant programs:

- **TSGP Conference Calls** – Conference calls will be held every week on Wednesdays at 1 PM EST starting May 25, 2011 through June 29, 2011 at 1-888-323-4702 (Participant Code “Wednesday”).
- **TSGP Workshops** – We will hold workshops on the following dates to discuss the FY 2011 TSGP in detail. Please contact [ASKCsid@dhs.gov](mailto:ASKCsid@dhs.gov) for additional information.
  - Monday, May 23, 2011: Chicago, IL
  - Monday, May 23, 2011: Denton (Dallas), TX
  - Monday, May 23, 2011: Washington, DC
  - Tuesday, May 24, 2011: New York, NY
  - Wednesday, May 25, 2011: Atlanta, GA
  - Thursday, May 26, 2011: San Francisco, CA
  - Friday, May 27, 2011: Bothell (Seattle), WA
- **TSA Grants Website** – [www.tsa.gov/grants](http://www.tsa.gov/grants)
- **DHS Centralized Scheduling and Information Desk (CSID)** – Call 1-800-368-6498 or e-mail [ASKCsid@dhs.gov](mailto:ASKCsid@dhs.gov).
- **Grants.gov website** – [www.grants.gov](http://www.grants.gov)
- **Non-Disaster Grants** - <https://portal.fema.gov>.